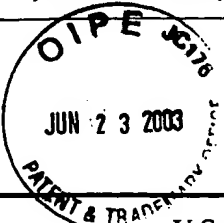


Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)				Attorney Docket No.: 42390.P9768		Application Number: 09/752,587	
Page 1 of 3				First Named Inventor: Gilbert Neiger, et al.			
Filing Date: December 27, 2000				JUN 24 2003			



U.S. PATENT AND PATENT APPLICATION DOCUMENTS						Technology Center 2100	
Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code <sup>2</sup> (If known)				
KT		2001/0021969	A1	Stephen G. Burger, et al.	09-13-2001		
KT		2001/0027527	A1	Yuri Khidekel, et al.	10-04-2001		
KT		4,037,214		Richard E. Birney, et al.	07-19-1977		
KT		4,347,565		Saburo Kaneda, et al.	08-31-1982		
KT		4,571,672		Minoru Hatada, et al.	02-18-1986		
KT		5,079,737		Holden G. Hackbarth	01-07-1992		
KT		5,319,760		Andrew H. Mason, et al.	06-07-1994		
KT		5,386,552		John I. Garney	01-31-1995		
KT		5,511,217		Atsushi Nakajima, et al.	04-23-1996		
KT		5,522,075		Paul T. Robinson, et al.	05-28-1996		
KT		5,628,022		Masahiro Ueno, et al.	05-06-1997		
KT		5,737,760		George G. Grimmer, Jr., et al.	04-07-1998		
KT		5,757,919		Howard C. Herbert, et al.	05-26-1998		

FOREIGN PATENT DOCUMENTS								
Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup> Code <sup>5</sup>	Number <sup>4</sup>	Kind (If known)				
KT			EP 1030237	A1	Hewlett Packard Company	08-23-2000		
KT			WO 97/29567	A1	Fortress U & T, Ltd.	08-14-1997		
KT			WO 01/175565	A2	Intel Corporation	10-11-2001		
KT			WO 01/75595	A2	Intel Corporation	10-11-2001		
KT			WO 01/27723	A2	Hewlett Packard Company	04-19-2001		
KT			WO 01/27821	A2	Hewlett Packard Company	04-19-2001		

Examiner Signature <i>Kathleen Neiger</i>	Date Considered 8/14/03
--	----------------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 42390.P9768	Application Number: 09/752,587
Page 2 of 3		First Named Inventor: Gilbert Neiger, et al.	
Filing Date: December 27, 2000		Technology Center 2100	

O.I.P.E. 30178  
 JUN 23 2003  
 PATENT & TRADEMARK OFFICE

RECEIVED  
 JUN 24 2003

U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			
KT		5,852,717		Chandrashekhara W. Bhide, et al.	12-22-1998	—
KT		5,854,913		John W. Goetz, et al.	12-29-1998	—
KT		5,872,994		Shin-Ichiro Akiyama, et al.	02-16-1999	—
KT		5,953,502		Walter A. Helbig, Sr.	09-14-1999	—
KT		6,055,637		Jerome D. Hudson, et al.	04-25-2000	—
KT		6,075,938		Edouard Bugnion, et al.	06-13-2000	—
KT		6,085,296		Nitin Y. Karkhanis	07-04-2000	—
KT		6,101,584		Satou, et al.	08-08-2000	—
KT		6,125,430		Karen L. Noel, et al.	09-26-2000	—
KT		6,148,379		Curt F. Schimmel	11-04-2000	—
KT		6,182,089	B1	Narayanan Ganapathy, et al.	01-30-2001	—
KT		6,192,455	B1	Zohar Bogin, et al.	02-20-2001	—
KT		6,249,872	B1	Frank L. Wildgrube, et al.	06-19-2001	—
KT		6,272,533	B1	Hendrike A. Browne	08-07-2001	—
KT		6,292,874	B1	Philip C. Barnett	09-18-2001	—
KT		6,301,646	B1	Mathew J. Hostetter, et al.	10-09-2001	—
KT		6,321,314	B1	Korbin S. Van Dyke	11-20-2001	—
KT		6,339,815	B1	Eugene Feng, et al.	11-15-2002	—
KT		6,339,816	B1	Jean Bausch	01-15-2002	—
KT		6,397,242	B1	Scott W. Devine, et al.	05-28-2002	—
KT		6,499,123	B1	Harold L. McFarland, et al.	12-24-2002	—
KT		6,505,279	B1	Gary Phillips, et al.	01-07-2003	—

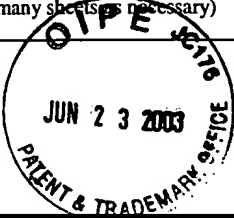
Examiner Signature: <i>Kathy Nakagawa</i>	Date Considered: 8/14/03
---	--------------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 42390.P9768	Application Number: 09/752,587
Page 3 of 3		First Named Inventor: Gilbert Neiger, et al.	RECEIVED JUN 24 2003
		Filing Date: December 27, 2000	Technology Center 2100



**OTHER ART - NO PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume- issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
KT		TRUSTED COMPUTING PLATFORM ALLIANCE (TCPA), Main Specification Version 1.1a, 321 pages, Copyright 2000-2001	

Examiner Signature	<i>Kathleen Neiger</i>	Date Considered	8/14/03
-----------------------	------------------------	--------------------	---------

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 042390.P9768	Application Number: 09/752,587
Page 1 of 2		First Named Inventor: Richard Uhlig, et al.	
		Filing Date: December 27, 2000	



### U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code <sup>2</sup>	Kind (If known)			
KT		4,037,214		Richard E. Birney, et al.	07-19-1977	—
KT		4,247,905		Yukihiro Yoshida, et al.	01-27-1981	—
KT		5,757,604		Jeffrey A. Bennett, et al.	05-26-1998	—
KT		6,272,637	B1	Wendell L. Little, et al.	08-07-2001	—
KT		6,314,409	B2	Paul B. Schneck, et al.	11-06-2001	—
KT		6,374,317	B1	Jasmin Ajanovic, et al.	04-16-2002	—

RECEIVED

JUL 31 2003

Technology Center 2100

### FOREIGN PATENT DOCUMENTS


Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup> Code <sup>5</sup>	Number <sup>4</sup>	Kind (If known)				
KT			JP76139A0		Nippon Telgr. & Telph. Corp.	03-14-2000	—	

Examiner Signature	<i>Ruth J. Dineen</i>	Date Considered	8/14/03
--------------------	-----------------------	-----------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 042390.P9768	Application Number: 09/752,587
Page 2 of 2		First Named Inventor: Richard Uhlig, et al.	
		Filing Date: December 27, 2000	

**OTHER ART - NO PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
KT		IBM Technical Disclosure Bulletin, "Information Display Technique For A Terminate Stay Resident Program," Vol. 34, Issue No. 7A, Pages 156-158, December 1, 1991.	

**RECEIVED**

JUL 31 2003

Technology Center 2100

Examiner Signature	<i>Kathy Uhlig</i>	Date Considered	8/14/03
-----------------------	--------------------	--------------------	---------

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<b>Substitute for Form 1449A/PTO (Modified)</b> <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>		
				Application Number	09/752,587	
				Filing Date	December 27, 2000	
				First Named Inventor:	Gilbert Neiger, et al.	
				Group Art Unit	2187	
				Examiner Name	Takeguchi, K.	
Sheet	1	of	1	Attorney Docket Number	042390.P9768	
<b>U.S. PATENT DOCUMENTS</b>						
Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code <sup>2</sup>	Kind (If known)			
KT		5,437,033		Inoue, Taro, et al.	07-25-1995	—
KT		5,737,604		Miller, David A., et al.	04-07-1998	—

<b>FOREIGN PATENT DOCUMENTS</b>								
Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
KT			WO 02 17555	A	Verisign, Inc.	02/28/2002	—	
KT			WO 01 75564	A	Intel Corporation	10/11/2001	—	
KT			WO 02 086684	A	Hewlett Packard	10/31/2002	—	

<b>OTHER ART - NO PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
KT		KARGER, PAUL A., et al., "A VMM Security Kernel for the VAX Architecture," Proceedings of the Symposium on Research in Security and Privacy, 5/7/1990, pp. 2-19, XP010020182, ISBN: 0-8186-2060-9, Boxborough, MA.	
KT		CHIEN, ANDREW A., et al., "Safe and Protected Execution for the Morph/AMRM Reconfigurable Processor," 7th Annual IEEE Symposium, FCCM '99 Proceedings 4/21/1999, pp. 209-221, XP010359180, ISBN: 0-7695-0375-6, Los Alamitos, CA.	
KT		ROBIN, JOHN SCOTT and IRVINE, CYNTHIA E., "Analysis of the Pentium's Ability to Support a Secure Virtual Machine Monitor," Proceedings of the 9th USENIX Security Symposium, 8/14/2000, pp. 1-17, XP002247347, Denver, CO.	
KT		PCT Search Report dated 7/29/03 (U.S. Patent No. '075 Previously cited).	

Examiner Signature	<i>Kathy Takeguchi</i>	Date Considered	9/25/03
--------------------	------------------------	-----------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.